

3609



PATENT  
Attorney Docket No.: SSI-00700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	) Group Art Unit:
Maximilian A. Biberger et al.	) Examiner:
Serial No.: 09/704,641	) <b><u>TRANSMITTAL LETTER</u></b>
Filed: November 1, 2000	) 260 Sheridan Avenue, Suite 420
For: <b>METHOD AND APPARATUS FOR</b>	) Palo Alto, California 94306
<b>SUPERCritical PROCESSING</b>	) (650)833-0160
<b>OF A WORKPIECE</b>	)

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Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:  
Enclosed please find a Supplemental Information Disclosure Statement, Form PTO-1449 (including copies of the references contained thereon), and Certification under 37 C.F.R. §1.97(e) for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 4-23-01

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571  
Attorneys for Applicants

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I hereby certify that this paper, along with any  
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Commissioner for Patents, Washington D.C. 20231

HAVERSTOCK & OWENS LLP  
4/24/01 - Cynthia E. Haverstock



Attorney Docket No.: PATENT  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ) Group Art Unit:  
Maximilian A. Biberger, et al. ) Examiner:  
Serial No.: 09/704,641 )  
Filed: November 1, 2000 ) **CERTIFICATION FOR**  
For: **METHOD AND APPARATUS FOR** ) **SUPPLEMENTAL INFORMATION**  
**SUPERCritical PROCESSING** ) **DISCLOSURE STATEMENT**  
**OF A WORKPIECE** ) **UNDER 37 CFR § 1.97(e)**  
260 Sheridan Avenue, Suite 420  
Palo Alto, California 94306  
(650) 833-0160

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

This certification is being made for the Supplemental Information Disclosure Statement  
accompanying this certification.

**Certification**

I hereby certify that the attached seven (4) references contained in this information  
disclosure statement were cited within an International Search Report (ISR) mailed on February  
1, 2001. **Accordingly, the references contained in the information disclosure statement were  
cited in a communication from a foreign patent office in a counterpart application not  
more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(1).**

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Attorney Docket No.: PATENT  
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### Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 4-23-01

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

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I hereby certify that this paper, along with all other papers being submitted, is being submitted by the U.S. Postal Service on the day of the month of April, 2001, at the address of the Assistant Secretary for Patent, Washington, D.C. 20540.

HAVERSTOCK & OWENS LLP

4/23/01 Thomas B. Haverstock

45-7-3-01

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Attorney Docket No.: SSI-00700

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Maximilian A. Biberger, et al.	)	Examiner:
	)	
Serial No.: 09/704,641	)	<u>SUPPLEMENTAL INFORMATION</u>
	)	<u>DISCLOSURE STATEMENT</u>
	)	
Filed: November 1, 2000	)	
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For: <b>METHOD AND APPARATUS FOR</b>	)	260 Sheridan Avenue, Suite 420
<b>SUPERCRITICAL PROCESSING</b>	)	Palo Alto, California 94306
<b>OF A WORKPIECE</b>	)	(650)833-0160
	)	

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicant has become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 5,866,005;
- European Publication No. 0 836 895 A2;
- PCT Publication No. WO 99/49998; and
- "A Comparison of Chilled DI Water/Ozone and Co2-Based Supercritical Fluids as Replacements for Photoresist-Stripping Solvents" by J.B. Rubin et al., Physical Organic Chemistry Group (CST-12) Chemical Science & Technology Division Los Alamos National Laboratory, Los Alamos, New Mexico.

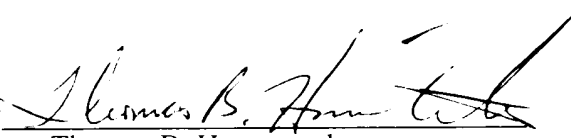
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This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

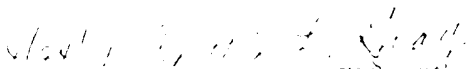
Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 4-23-01

By:

  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

  
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enclosures) is being attached to and enclosed in being  
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HAVERSTOCK & OWENS LLP